

## **Rockwell Automation Conflict Minerals Supply Chain Policy Statement**

Rockwell Automation is committed to high ethical standards and social responsibility. This includes supporting the Dodd-Frank Wall Street Reform and Consumer Protection Act as it relates to Conflict Minerals (Section 1502), designed to eliminate support of illegal and unethical actions in the Democratic Republic of Congo (DRC) region. While we do not purchase tin, tungsten, tantalum and gold (“3TG Minerals”) directly, they may exist in the materials and components we buy.

Rockwell Automation Conflict Minerals Program has evolved to address minerals originating from Conflict-Affected and High-Risk Areas (CAHRAS). Our conflict minerals program is extended to cobalt and mica, which are considered extended minerals that could be mined in conflict-affected areas contributing to unethical sourcing issues; however, they are not currently subject to legal compliance requirements.

Rockwell Automation’s goal is to comply with the Conflict Mineral regulations. In support of that goal, we are also working with our suppliers to responsibly purchase the materials and components we use in our products.

We expect the same supply chain transparency and practices from our suppliers. Our suppliers are expected to investigate the source and chain of custody of 3TG Minerals and extended conflict minerals (including cobalt and mica) in the products they provide to us, to disclose their information on due diligence to us upon request, to purchase minerals from responsible sources that do not contribute to human rights abuses, and to extend all these expectations to their own suppliers. We will work with our suppliers on a case-by-case basis to meet these goals. If we determine that a supplier in our supply chain violates one of these responsible sourcing requirements, we will endeavor to obtain an acceptable remediation of the violation. We may also evaluate our business relationship with a supplier, including suspending or terminating trade with that supplier, if identified violations are not remedied.

For data collection, we will rely on the Conflict Minerals Reporting Template (“CMRT”) and the Extended Minerals Reporting Template (EMRT) that includes cobalt and natural mica-bearing materials and will support the Responsible Minerals Initiative (“RMI”).

Consistent with our [Supplier Code of Conduct](#) (Responsible Business Alliance Code of Conduct) and the OECD Guidance, we additionally expect our suppliers to:

- Create and maintain a publicly available responsible minerals policy consistent with the standards we uphold.
- Establish due diligence frameworks and management systems.
- On an annual basis, complete reporting templates for conflict minerals and extended minerals.
- Utilize smelters and refiners that conform to an independent third-party responsible minerals sourcing program.

We will continue performing due diligence to our supply chain to ensure transparency and to ensure that only conflict-free materials are used in our products and components. Potential violations may be reported through the Rockwell Automation [Office of the Ombuds](#).

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For more information, please contact:

For Declarations and Certificates, please visit the [Product Certifications website](#)

For Product Environmental Compliance Inquiries, please contact: [ProductStewardship@ra.rockwell.com](mailto:ProductStewardship@ra.rockwell.com)

For Product Certification/Compliance Inquiries, please contact: [ProductCertification@ra.rockwell.com](mailto:ProductCertification@ra.rockwell.com)

For Country of Origin/NAFTA Certificates or Tariff Code Inquiries, please contact

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